



Asset Management Accounts Fee Schedule

Capital Financial Planners, LLC offers fee based Asset Management Accounts for stocks, bonds, mutual funds and other types of securities. Investments are held with Charles Schwab Institutional.

In our Asset Management Accounts we generally use mutual funds with no sales charges and no acquisition costs. Schwab makes a wide variety of mutual funds available on this basis. Schwab has a modest transaction charge for stocks, Exchange Traded Funds, and some other security types, but Capital Financial Planners, LLC receives no commissions or compensation for any transactions in Asset Management accounts.

The specific investments chosen for an account depend on the goals, timeframe, and risk tolerance of the client. We work with each client to develop a portfolio with the client's situation firmly in mind. Accounts are monitored for performance and continued appropriateness.

Each account is assessed a fee based on the assets under management, as follows:

1.00% on the first \$500,000
0.75% on the next \$500,000
0.50% thereafter

These are annual fees and are billed annually, semi-annually or quarterly. Most clients have the fee taken directly from their accounts, but clients may pay by check, if preferred.

To discuss the appropriateness of this option for you, please contact Barry Nelson or Chad Campbell.

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